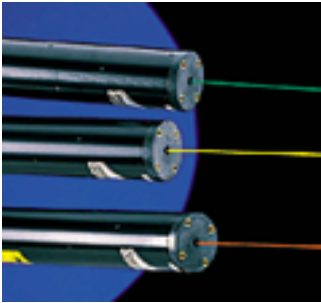


Quantum Electronics  
Laser Physics

Chapter 3

**The Optical Resonator**

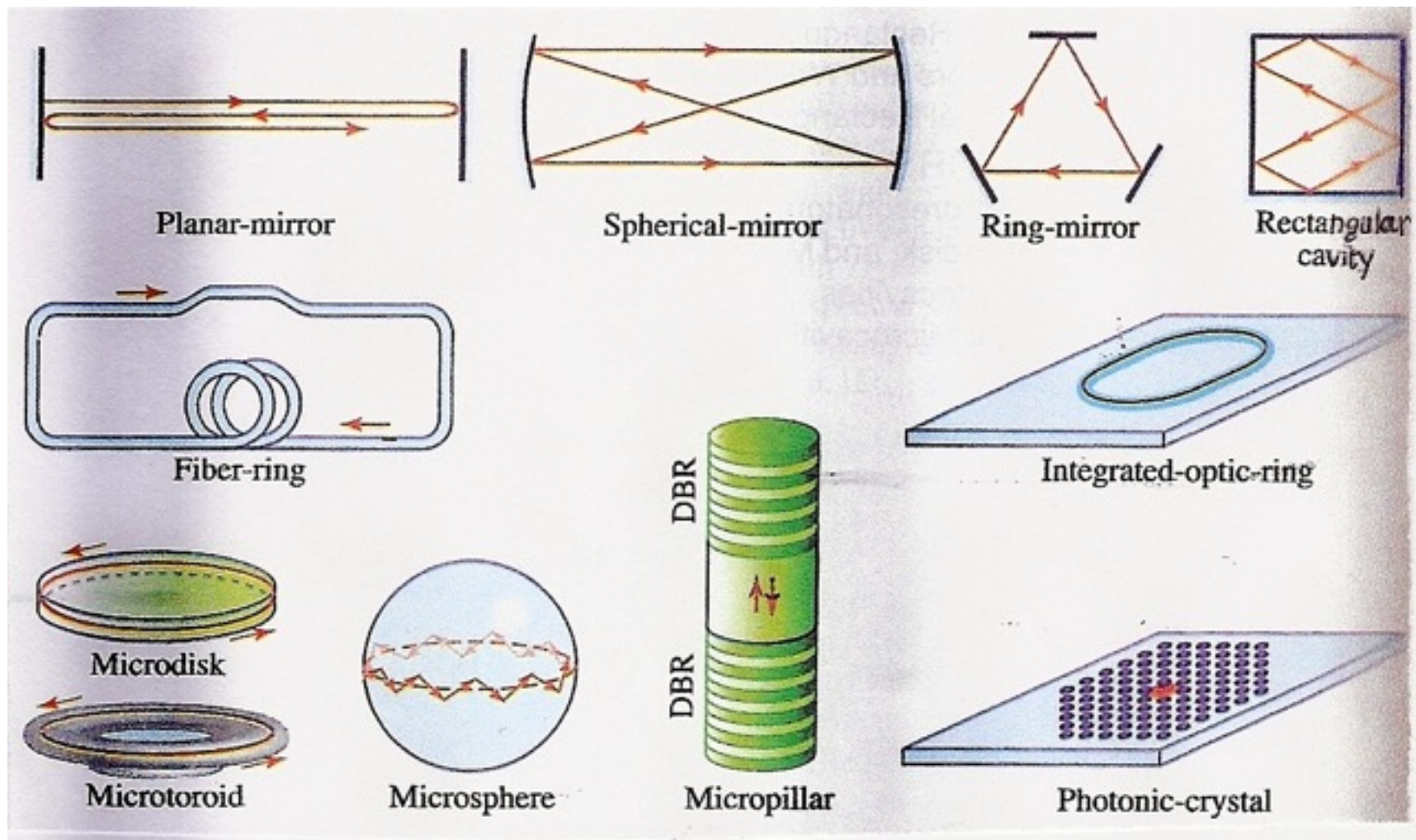


- 3.1 The Plane Mirror Resonator
- 3.2 The Spherical Mirror Resonator
- 3.3 Gaussian modes and resonance frequencies
- 3.4 The Unstable Resonator

# Introduction

- The optical resonator (OR) is the optical counterpart of an electronic resonant circuit: it confines and stores light at certain frequencies.
- Most important application: OR as a container within which laser light is generated.
- LASER=OR containing a light amplifying medium
- OR determines frequency and spatial distribution of the laser beam

# Introduction



From Fundamentals of Photonics, Saleh and Teich, Wiley, chap 10, p.366

# Introduction

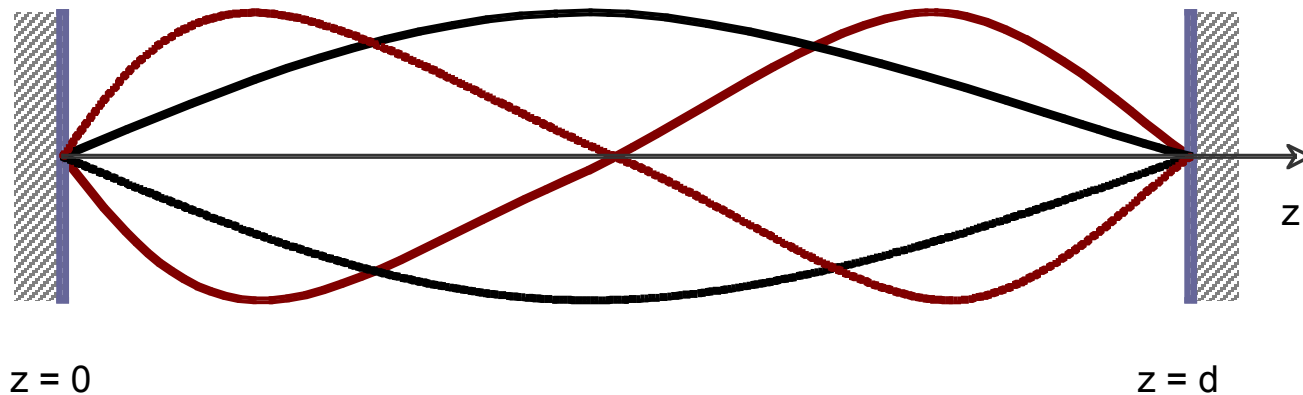
- Mirror resonators: 2 or 3 mirrors, 2D or 3D cavities
- Dielectric Resonators: use TIR instead of mirrors:
  - Fiber rings and integrated optic rings
  - Microdisks, microspheres, etc (Whispering Gallery modes)
  - Micropillars
  - Photonic Crystals
- Currently: Nanolasers with quantum confinement of carriers (e.g electrons) or photons

# Introduction

- Two key parameters:
  - Modal volume  $V$ : volume occupied by confined optical mode
  - Quality factor  $Q$ : proportional to storage time in units of optical period
- $V$  and  $Q$  represent the degrees of spatial and temporal confinements, respectively
- Large  $Q$  means low-loss resonator

## 3.1- Plane Mirror Resonator

- Fabry-Perot interferometer: pair of plane mirrors separated by distance  $d$ .



Monochromatic plane:  $u(\vec{r}) = \text{Re}[U(\vec{r})e^{2\pi i \nu t}]$

Satisfies Helmholtz equation:

$$\nabla^2 U + k^2 U = 0 \text{ with } k = 2\pi \frac{\nu}{c}$$

### 3.1- Plane Mirror Resonator

- Standing wave solution is obtained for the boundary conditions:

$$U(\vec{r}) = 0 \text{ at } z = 0 \text{ and } U(\vec{r}) = 0 \text{ at } z = d$$

$$U(\vec{r}) = A \sin kz \text{ with } kd = q\pi \Rightarrow k = q \frac{\pi}{d}, \quad q = 1, 2, 3, \dots$$

- $q$  is the mode number, mode frequencies are:

$$\nu_q = q \frac{c}{2d}$$

- Arbitrary wave = superposition of modes

$$U(r) = \sum_q A_q \sin k_q z$$

- Constant frequency difference between adjacent modes (free spectral range):  $\nu_F = \frac{c}{2d}$

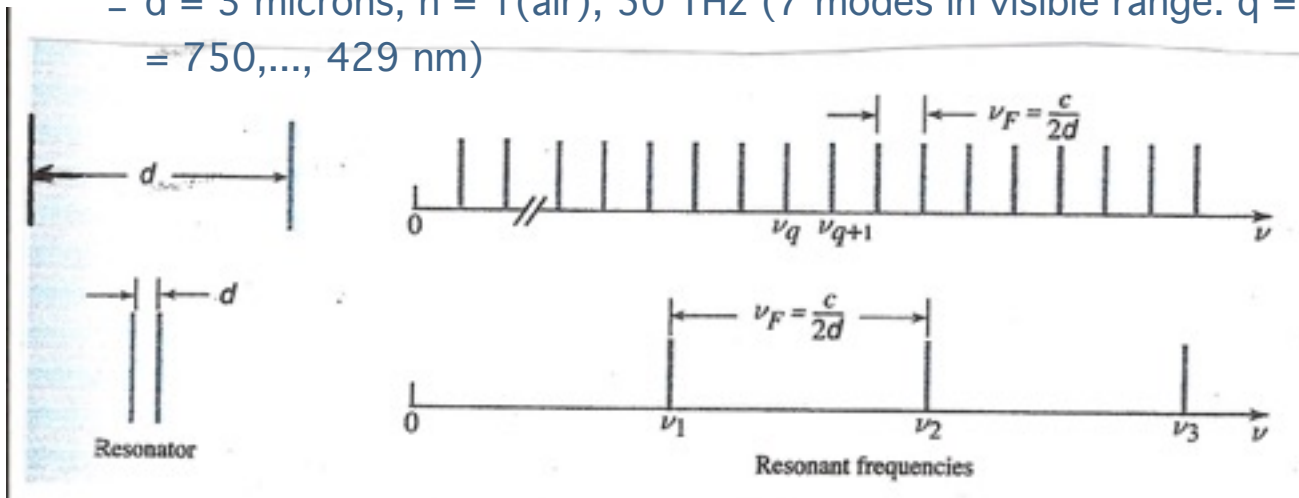
## 3.1- Plane Mirror Resonator

- The resonance wavelengths in the optical medium are:  $\lambda_q = \frac{c}{\nu_q} \Rightarrow 2d = q\lambda_q$

- Examples:

- $d = 30$  cm,  $n = 1$  (air), free spectral range = 500 MHz

- $d = 3$  microns,  $n = 1$  (air), 50 THz (7 modes in visible range:  $q = 8, \dots, 14$ ,  $\lambda_q = 750, \dots, 429$  nm)



- Free spectral range can be adjusted by placing resonators in series

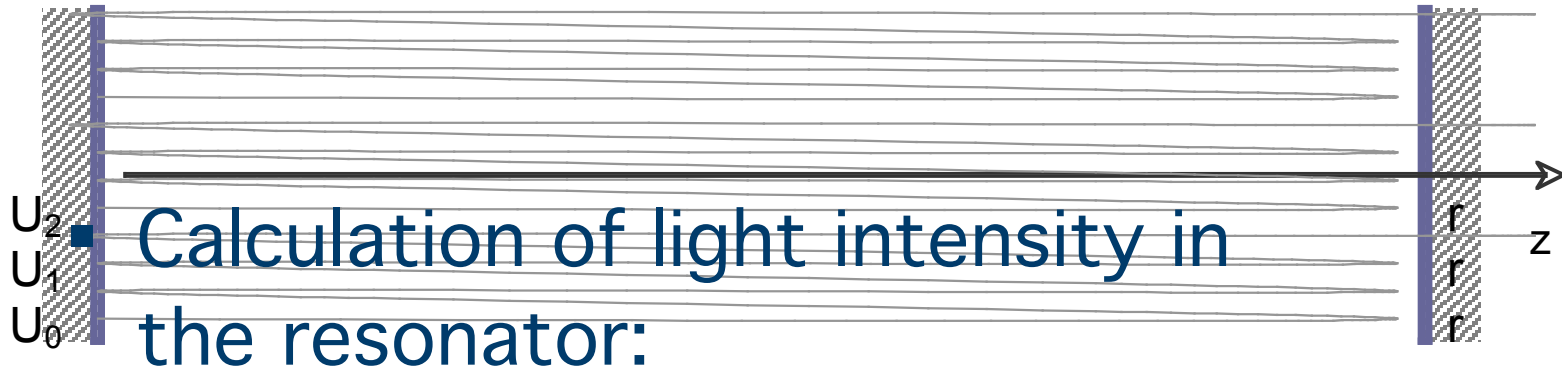


## 3.1- Plane Mirror Resonator

- Calculation of light intensity in the resonator:
  - Summation of multiply reflected amplitudes
  - Phase shift after one round trip of propagation ( $2d$ ) is  $\varphi = \frac{2\pi}{\lambda} 2d = 2kd$
  - Wave reproduces itself after a round trip, thus:  $\frac{2\pi}{\lambda} 2d = 2kd = 2q\pi, q = 1, 2, 3, \dots$

$$U(r, t) = U_0 e^{i(k \cdot r - 2\pi \nu t)}, k \cdot r = \frac{2\pi}{\lambda} 2d \text{ for } r = 2d$$

## 3.1- Plane Mirror Resonator



$z = 0$  – Summation of multiply reflected amplitudes for perfect “non lossy” resonator:  $z = d$

$$U_1 = U_0 e^{-i\frac{2\pi}{\lambda}2d}, U_2 = U_1 e^{-i\frac{2\pi}{\lambda}2d} = U_0 e^{-i\frac{2\pi}{\lambda}4d}, \text{etc...}$$

Total amplitude:

$$U = U_0 + U_1 + U_2 + \dots$$

$$U_{TOT} = \sum_{j=0}^N U_0 e^{j\left(i\frac{2\pi}{\lambda}2^j d\right)}$$

$$\sum_{j=0}^N U_0 e^{j(i2^j kd)}$$

$$\sum_{q=0}^N U_0 e^{j(i2^q \pi)}$$

## 3.1-Plane Mirror Resonator

- If resonator has losses, amplitude reduction upon reflection is taken into account ( $r$  reflection coefficient):

Total amplitude:  $U = U_0 + rU_1 + r^2U_2 + \dots$

$r$  = complex reflection coefficient (overall amplitude attenuation)

Intensity:  $I = |U|^2$

$$U_{TOT} = \sum_{j=0}^N U_0 r^j e^{j\left(i\frac{2\pi}{\lambda}2^j d\right)}$$

$$I = \frac{I_0}{1 + \left(\frac{2F}{\pi}\right)^2 \sin^2\left(\frac{\phi}{2}\right)} = \text{transmitted intensity}$$

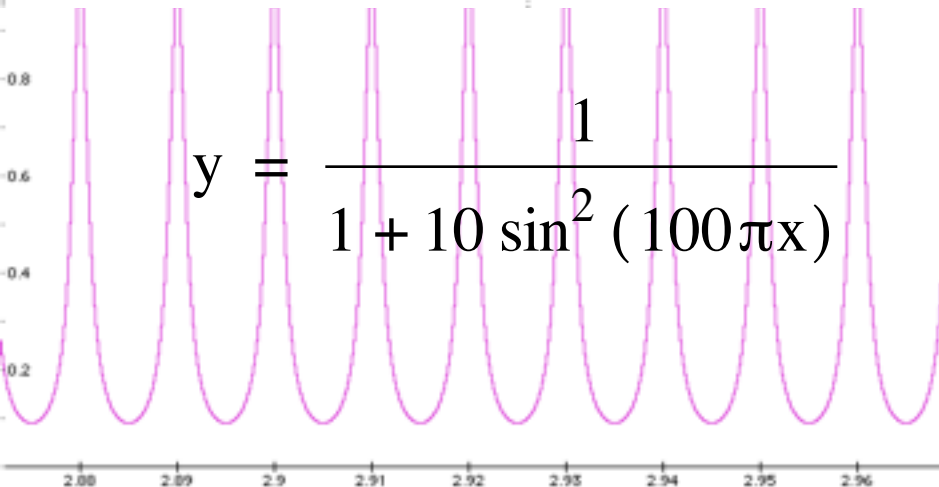
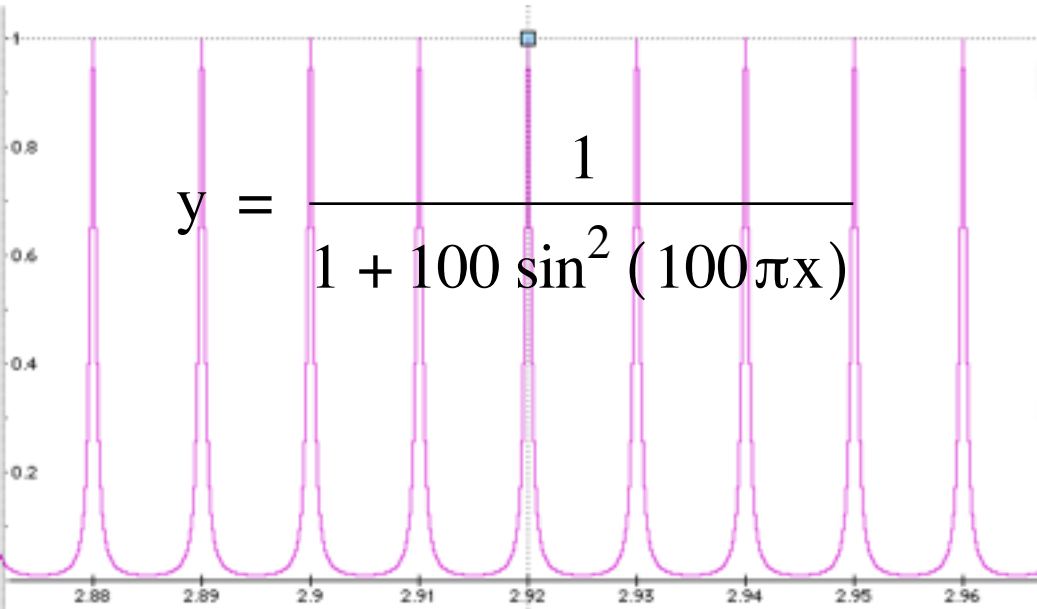
$$\frac{\phi}{2} = kd = \frac{2\pi}{\lambda} d = \frac{2\pi\nu d}{c}$$

$I_0 = |U_0|^2$  = incident intensity

$R = |r|^2$ , reflectivity of lossy mirror (or overall losses over round trip)

$$F = \frac{\pi\sqrt{R}}{1-R}, \text{ Finesse of resonator} = \frac{\text{Intermode spacing}}{\text{Width of a mode}} = \frac{\nu_F}{\delta\nu}$$

## 3.1- Plane Mirror Resonator



Spectral Response of the lossy resonator:

$$\frac{I}{I_0} = \frac{1}{1 + \left(\frac{2F}{\pi}\right)^2 \sin^2\left(\pi \frac{\nu}{\nu_F}\right)}$$

$$\nu_F = \frac{c}{2d}, I_0 \equiv \text{Incident intensity}$$

$$\frac{1}{\nu_F} = \text{round-trip time}$$

$$\text{Spectral width of a mode} \approx \frac{\nu_F}{F}$$

Spectral width depends strongly on finesse ( $F$ ). Cf.  $F=100$  vs  $10$ ...

## 3.1- Plane Mirror Resonator

- The two principal sources of loss in the optical resonator are
  - Absorption and scattering in the medium between the mirror (see laser amplifier):

Round trip power attenuation:  $\exp(-2\alpha_s d)$

$\alpha_s$  : linear absorption coefficient of the medium

- Losses arising from imperfect reflection at the mirrors (necessary transmission + finite size effects):

Mirrors of reflectance:  $R_1$  and  $R_2$

Overall round trip loss of intensity :

$$R_1 R_2 \exp(-2\alpha_s d) \equiv r^2$$

Overall distributed-loss written as:

$$\exp(-2\alpha_r d) = R_1 R_2 \exp(-2\alpha_s d)$$

$$\alpha_r = \alpha_s + \frac{1}{2d} \text{Log} \frac{1}{R_1 R_2}$$

Ultimately (after maths):  $F \approx \frac{\pi}{\alpha_r d}$

if  $\alpha_r d \ll 1$  (small losses)

## 3.1 Plane Mirror Resonator

- The resonance linewidth is inversely proportional to the loss factor ( $\alpha_r d$ )

Finesse is by definition  $F = \frac{\nu_F}{\delta\nu} \rightarrow \delta\nu \approx \frac{\frac{c}{2d}}{\frac{\pi}{\alpha_r d}} = \frac{c\alpha_r}{2\pi}$

$\alpha_r$  is the loss per unit length,  $c\alpha_r$  is the loss per unit time

The resonator lifetime or photon lifetime in cavity is:

$$\tau_p = \frac{1}{c\alpha_r}, \text{ thus } \delta\nu = \frac{1}{2\pi\tau_p}$$

## 3.1 Plane Mirror Resonator

- The Quality factor  $Q$  can be used to characterize the losses:

$$Q = 2\pi \frac{\text{Stored energy}}{\text{Energy loss per cycle}}$$

In the case of an optical resonator (laser), one can show that :

$$Q = 2\pi\nu_0\tau_p$$

$$Q = \frac{\nu_0}{\nu_F} F, \nu_0 = \text{frequency of one of the modes}$$

- Since the resonator frequencies are much larger than the mode spacing, then  $Q \gg F$

## 3.1-Plane Mirror Resonator

- What are the requirements for a laser:
  - Assume 3-D resonator (3 pairs of parallel mirrors, closed resonator), equivalent to black-body cavity.
  - Number and frequency of modes is given by the particle in the box model (photons):

$$\frac{dN}{V} = \frac{8\pi\nu^2}{c^3} d\nu$$

$$V = 1\text{cm}^3, \nu = 3 \times 10^{14} \text{ Hz}, d\nu = 3 \times 10^{10} \text{ Hz}$$

$$dN = 2 \times 10^9 \text{ modes}$$

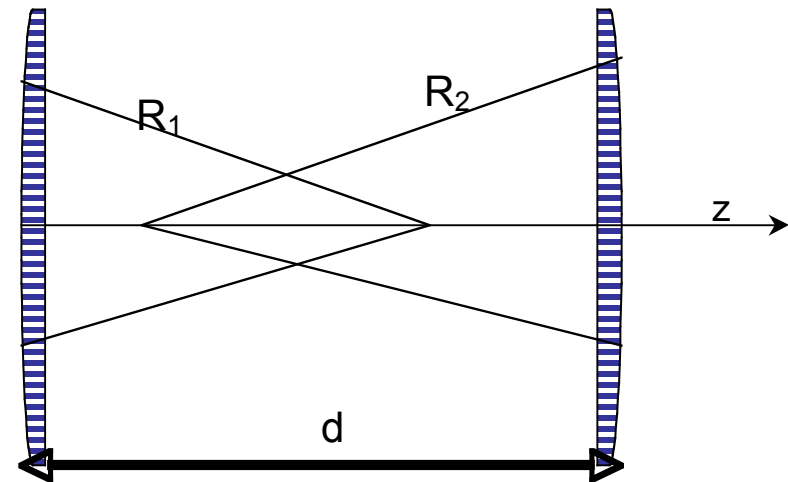


## 3.1-Plane Mirror Resonator

- All the modes would have comparable Q in the 3D resonator
  - To be avoided in a laser as it would cause all the atoms to emit power into a large number of modes (would differ in their frequency and spatial characteristics)
- Large, open resonators consisting of opposite flat/curved reflectors must be used:
  - Energy of the vast majority of modes lost after a single pass
  - Surviving modes are near the axis

## 3.2 Spherical Mirror Resonator

- Ray confinement:
  - Concave  $R < 0$ ,
  - Convex  $R > 0$ ,
  - Only meridional (lie in a plane passing through the optical axis) and paraxial rays are considered
- Geometric optics is sufficient to find the condition for the existence of the confined modes



$$g_1 = 1 + \frac{d}{R_1}, \quad g_2 = 1 + \frac{d}{R_2}$$

P378-381. Chapter 10.

## 3.2 Spherical Mirror Resonator

- Condition for the existence of confined modes:
  - Outside this domain the resonator is said to be unstable

$$0 \leq g_1 g_2 \leq 1$$

- For same radii, stability condition becomes:

$$R_1 = R_2 \Rightarrow g_1 = g_2 = g$$
$$-1 \leq g \leq +1 \Rightarrow 0 \leq \frac{d}{(-R)} \leq 2$$

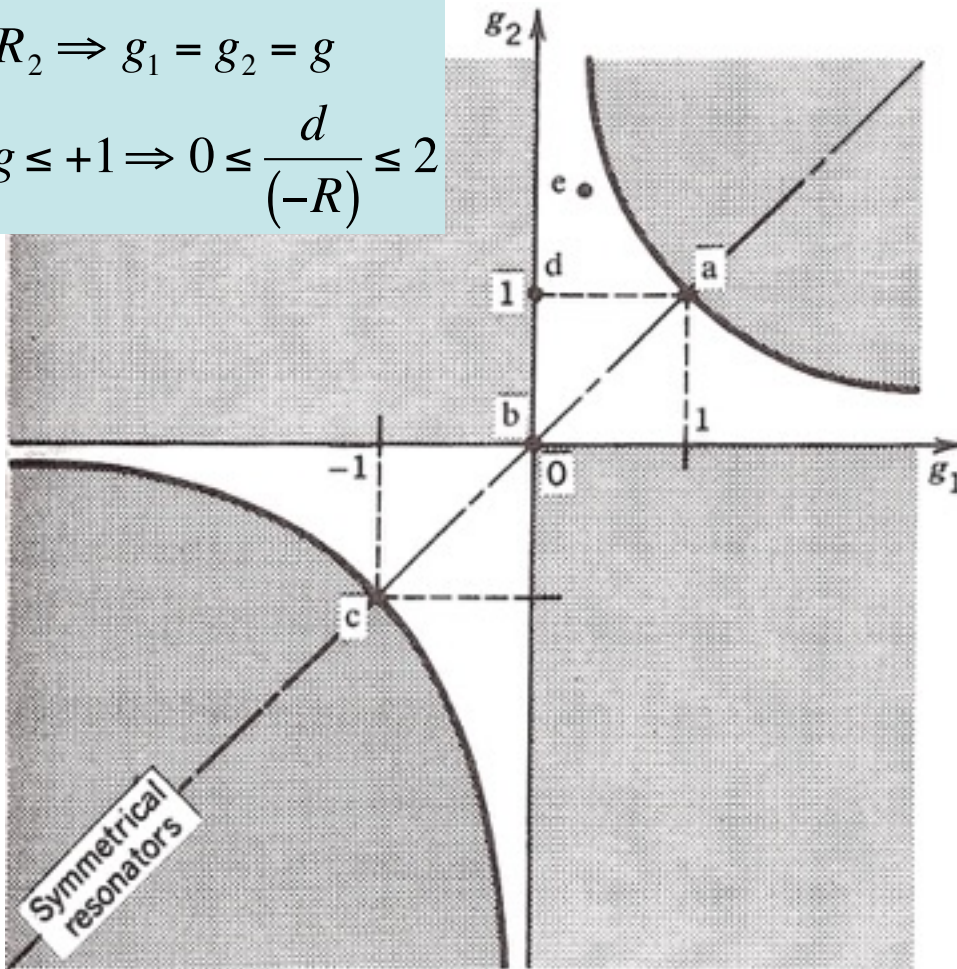
- Three resonators of practical interest: confocal concentric, confocal/planar

## 3.2-Spherical Mirror Resonator

Stability condition:

$$R_1 = R_2 \Rightarrow g_1 = g_2 = g$$

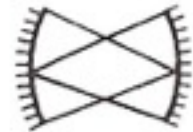
$$-1 \leq g \leq +1 \Rightarrow 0 \leq \frac{d}{(-R)} \leq 2$$



a. Planar  
( $R_1 = R_2 = \infty$ )



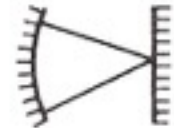
b. Symmetrical confocal  
( $R_1 = R_2 = -d$ )



c. Symmetrical concentric  
( $R_1 = R_2 = -d/2$ )



d. Confocal/planar  
( $R_1 = -d, R_2 = \infty$ )



e. Concave/convex  
( $R_1 < 0, R_2 > 0$ )



### 3.3 Gaussian Modes and resonance frequencies

- Gaussian beams are stable modes of the spherical mirror resonator: wavefronts and phase match exactly the boundary conditions imposed by spherical mirror resonator (Helmholtz paraxial equation).
- Gaussian beam retraces incident beam if the radius of the wavefronts is exactly the same as the mirror radius.

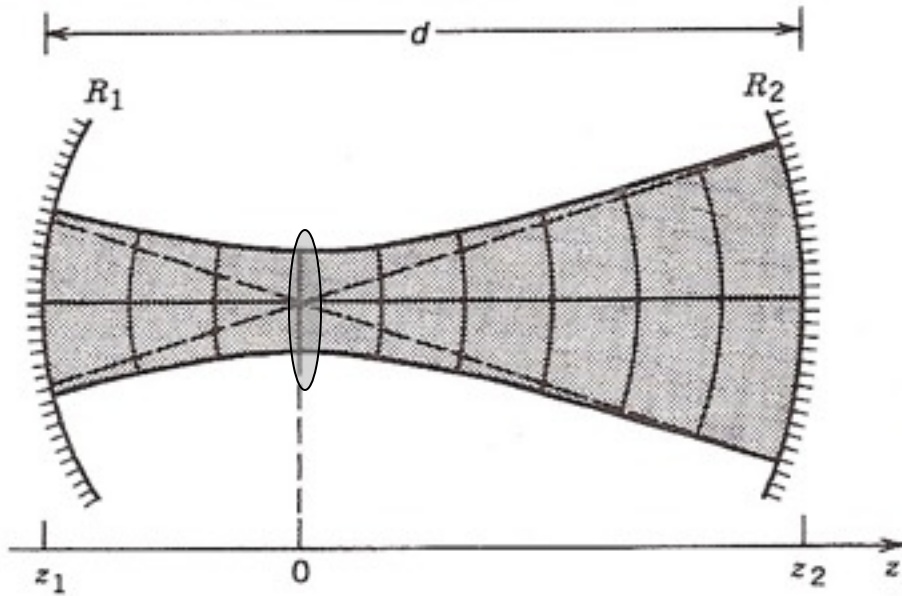
- Phase of Gaussian beam:

$$\varphi(R, z) = kz - \xi(z) + \frac{k\rho^2}{2R(z)} \text{ with } \rho^2 = x^2 + y^2$$

$$\text{On-axis: } \varphi(0, z) = kz - \xi(z)$$

$$\xi(z) = \arctan\left(\frac{z}{z_0}\right) : \text{phase retardation with respect to plane wave}$$

## 3.3 Gaussian Modes and resonance frequencies



$$z_0 = \frac{d}{2} \left( 2 \frac{|R|}{d} - 1 \right)^{\frac{1}{2}}$$

$$W_0^2 = \frac{\lambda d}{2\pi} \left( 2 \frac{|R|}{d} - 1 \right)^{\frac{1}{2}}$$

$$W_1^2 = W_2^2 = \frac{\lambda d / \pi}{\left\{ \left( \frac{d}{|R|} \right) \left[ 2 - \left( \frac{d}{|R|} \right) \right] \right\}^{\frac{1}{2}}}$$

- See chapter 10 for details (symmetrical resonator):

$$R_1 = R_2 = -|R|$$

$$z_1 = -d/2, \quad z_2 = d/2$$

$$R(z) = z \left[ 1 + \left( \frac{z_0}{z} \right)^2 \right]$$

$$W(z) = W_0 \left[ 1 + \left( \frac{z}{z_0} \right)^2 \right]^{\frac{1}{2}}$$

### 3.3 Gaussian Modes and resonance frequencies

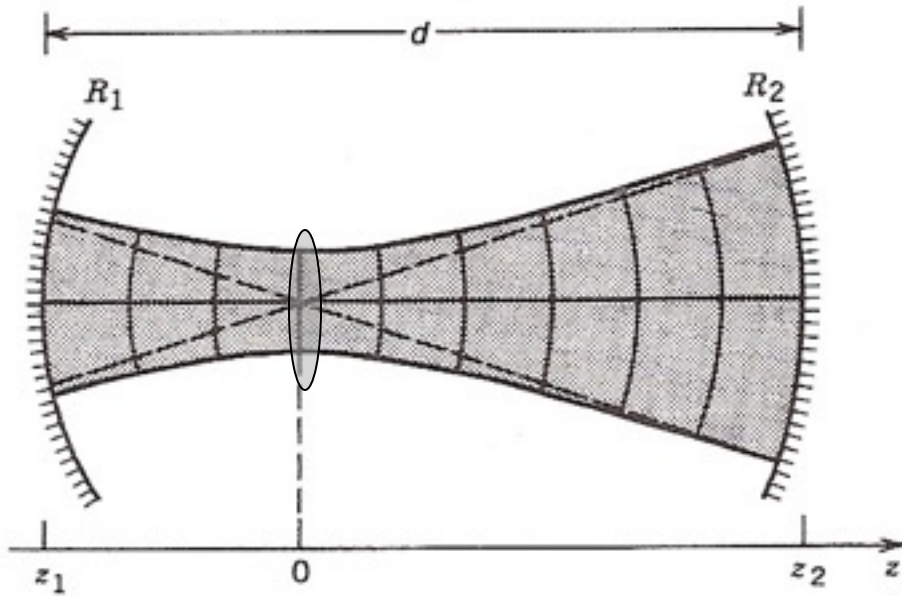
$$z_2 = z_1 + d \qquad R(z) = z + \frac{z_0^2}{z}$$

$$R_1 = z_1 + \frac{z_0^2}{z_1}, -R_2 = z_2 + \frac{z_0^2}{z_2}$$

$$z_1 = \frac{-d(R_2 + d)}{R_2 + R_1 + 2d}$$

$$z_0^2 = \frac{-d(R_1 + d)(R_2 + d)(R_1 + R_2 + d)}{(R_2 + R_1 + 2d)^2}$$

## 3.3 Gaussian Modes and resonance frequencies



$$z_0 = \frac{d}{2} \left( 2 \frac{|R|}{d} - 1 \right)^{\frac{1}{2}}$$

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$$z_1 = -d/2, \quad z_2 = d/2$$

$$R(z) = z \left[ 1 + \left( \frac{z_0}{z} \right)^2 \right]$$

$$W(z) = W_0 \left[ 1 + \left( \frac{z}{z_0} \right)^2 \right]^{\frac{1}{2}}$$



### 3.3 Gaussian modes and resonance frequencies

- Resonance frequencies can be calculated from the resonance condition (round trip phase change is exactly  $2\pi$ ):

At mirrors location:

$$\varphi(0, z_1) = kz_1 - \xi(z_1) \text{ and } \varphi(0, z_2) = kz_2 - \xi(z_2)$$

Phase change from  $z_1$  to  $z_2$ :

$$\Delta\varphi = \varphi(0, z_2) - \varphi(0, z_1) = k(z_2 - z_1) - [\xi(z_2) - \xi(z_1)] = kd - \Delta\xi$$

For one round trip + phase matching condition:

$$\Delta\varphi = 2(kd - \Delta\xi) = 2q\pi \quad (q = \pm 1, \pm 2, \dots)$$

$$\nu_q = q\nu_F + \frac{\Delta\xi}{\pi} \nu_F, \quad \text{frequency spacing: } \left( \nu_F = \frac{c}{2d} \right)$$

### 3.3 Gaussian modes and resonance frequencies

- All the Hermite-Gaussian beams of order  $(l,m)$  are also good solutions.
- All  $(l,m)$  modes have same wavefronts as  $(0,0)$  but different amplitudes: Conditions for wavefront matching are identical.
- The entire family of  $A_{l,m} G_l G_m$  are also modes of the spherical mirror resonator
- The resonance frequencies depend on  $(l,m)$

### 3.3 Gaussian modes and resonance frequencies

- Phase matching conditions provide resonance frequencies:

Phase of the axial modes:

$$\varphi(0, z) = kz - (l + m + 1)\xi(z)$$

After a round trip + phase matching condition

$$2kd - 2(l + m + 1)\Delta\xi = 2q\pi \quad (q = \pm 1, \pm 2, \dots)$$

Resonance frequencies:

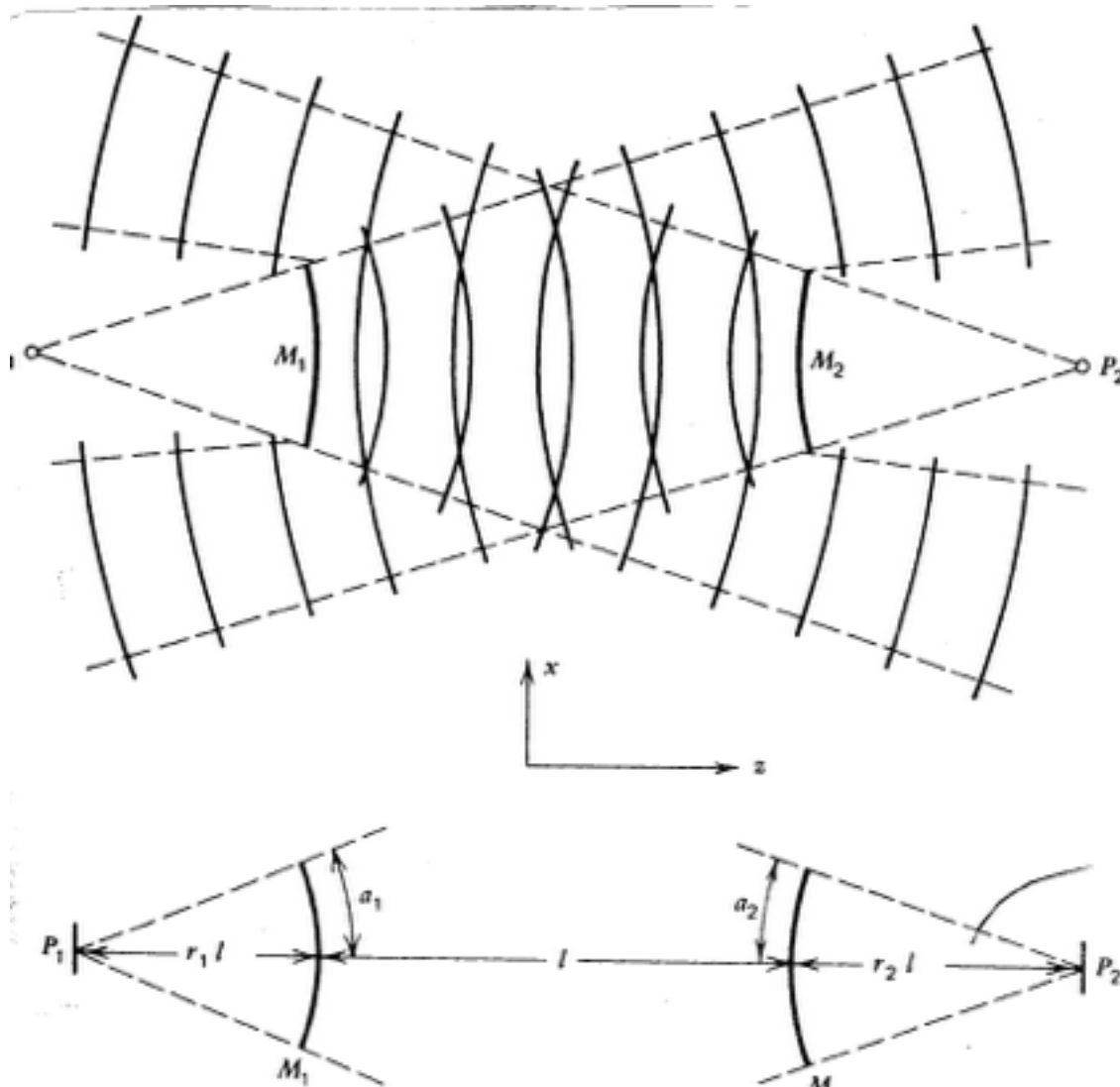
$$\nu_q = q\nu_F + (l + m + 1)\frac{\Delta\xi}{\pi}\nu_F$$

- Modes of different  $q$  but same  $(l, m)$  are called longitudinal (axial) modes
- Modes with different  $(l, m)$  represent different transverse modes

## 3.4 Unstable Resonator

- Close to regions of ‘unconfinement’, beam size increases
- Light losses due to missing the mirror become important (diffraction losses).
- For high power applications, large volume modes and diffraction losses are desirable
- High diffraction losses are good for a high gain situation (see later).
- Output beam has large aperture: optics are simplified
- Losses depend only on mirrors radii of curvature and separation distance.

## 3.4 Unstable Resonator



- Spherical wave picture of the mode in an unstable resonator.
- Points  $P_1$  and  $P_2$  are the virtual centres of the spherical waves.